



CITIZEN PARTICIPATORY AUDIT: Tool for Transparency & Accountability and an Anti-Corruption Measure

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By: Assistant Commissioner CARMELA S. PEREZ
Commission on Audit
(Supreme Audit Institution of the Philippines)





Introduction

1. The birth of the Citizen Participatory Audit (CPA) – November 26, 2012:

- q **Volunteerism in the Philippine Constitution**
- q **The Volunteer Act of 2007**
- q **The COA's mandate**
- q **Commitment to the Open Government Partnership (OGP)**

2. Participatory pilot audits, capacity building, and policy formulation

- q **With technical assistance from development partners**

3. Institutionalization:

- q **In the national budget**
- q **Office managing the CPA was created**
- q **Policy institutionalizing the CPA issued**
- q **In the COA's Strategic Plan up to 2022**
- q **In the Philippine National Action Plan for OGP**

What is Citizen Participatory Audit (CPA)?

1

A technique in
conducting audits with
citizens / civil society as
members of audit teams
of the Commission on
Audit



CPA Activities

Examples of Citizen Participatory Audit Engagements

- KAMANAVA Flood Control Project (DPWH)
- Barangay Health Centers (Marikina City)
- Solid Waste Management (16 cities, 1 municipality of NCR)
- Farm-to-Market Roads
- Tourism Roads Infrastructure Projects



What is Citizen Participatory Audit (CPA)?

2

A mechanism for sharing of aspirations, goals, and objectives between the COA and civil society



CPA Dialogues

COA officials,
citizens/civil
society
organizations
meet to share
and co-create
goals, objectives,
and agenda



What is Citizen Participatory Audit (CPA)?

3

A technique for
citizen/civil society
partnership in other
areas of the COA's work



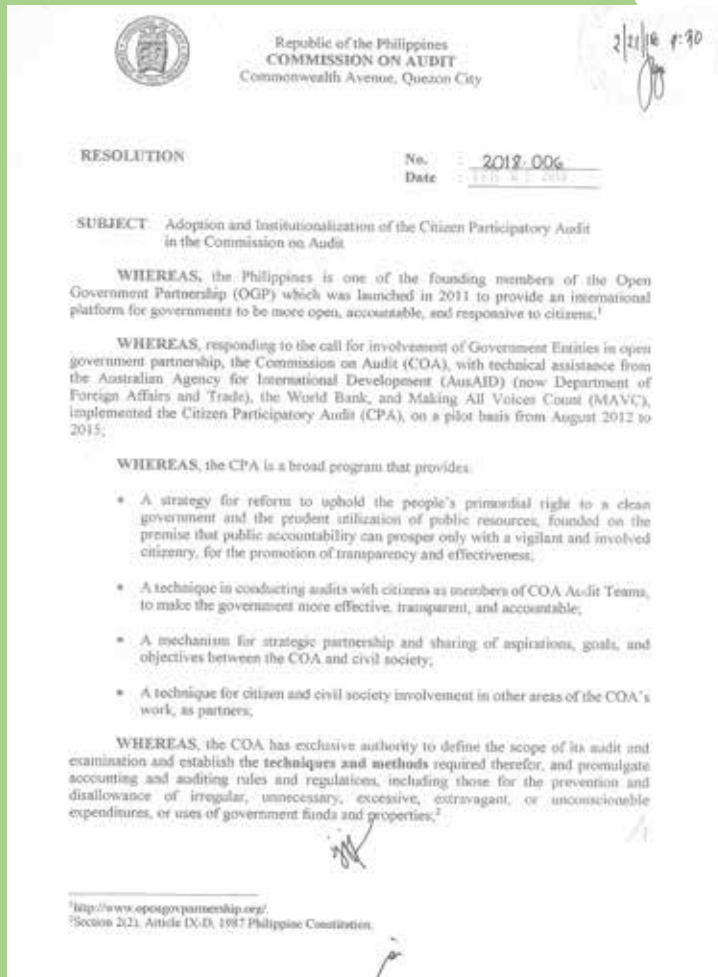
CPA Activities

Participatory policy formulation

Operational Guidelines in the pilot phase

COA Resolution No. 2018 – 006 dated 1 Feb 2018

Institutionalizing the CPA



CPA Activities

Capacity building



- Understanding the COA & the basics of the public audit process (COA 101)
- Understanding civil society organizations (CSO 101)
- How to conduct audit of specific topics
- Data analytics and visualization
- Geotagging

CPA Activities

Simplification of audit reports


q *The 1-page Audit in Brief*

MARIKINA CITY Barangay Health Centers

AUDIT-IN-BRIEF

For the third pilot run of the Citizen Participatory Audit (CPA) Project, CGA and Affiliated Network for Social Accountability in San Antonio and the Health (ANSAC-CP) have achieved to audit the Panawid Pamiliyong Pilipino Program (4Ps) health audit/health using the Community Score Card (CSC).

The CSC measured the performance of Barangay Health Centers based on the Quality Standard List (QSL) for Rural Health Units (RHU) and from the perspective of the 4Ps beneficiaries, which is the citizens' participation in the project.



AUDIT FOCUS

For audits which adopt the Citizen Participatory Audit (CPA) approach, CGA selects projects/services of the government that are close to the hearts of the people, like the medical services provided by BHCs.

The focus of the citizen participatory audit was Marikina City's health centers located in Barangays Tumana, Malanday, Hangek and the Pagsal Levin Health Center in Barangay Fortuna. These health centers were selected because they have the most number of enrolled 4Ps beneficiaries from among all 17 BHCs of the City.

AUDIT OBJECTIVES

The Citizen Participatory Audit was conducted to determine:

- How well the BHC operated in terms of the minimum requirements of the Department of Health (DOH) and of the BSWS.
- How well the BHCs operated in terms of fulfill expectations of their clients.

AUDIT CRITERIA

The standards/benchmarks used as basis for the validation/ determination were:

- Sanitary Single Assessment: Quality Standards List for Rural Health Units and Health Centers (October 2000)
- DSWB A. O. No. 18 series of 2008: Guidelines on the Implementation of Panawid Pamiliyong Pilipino Program (4Ps)
- PhilHealth Circular No. 14 series of 2013: Enforcement of NMP Benefits of all Panawid Pamiliyong Pilipino Program Beneficiaries of the DSWB



AUDIT METHODOLOGY

- Understanding the law and rules and regulations on how BHCs should operate;
- Sampling of BHCs using the List of 4Ps Barangays provided by the DSWB;
- Document analysis of DEN required health reports, inventory reports, receipt and distribution of medicines, patient's cards, etc.;
- Configuring the Community Score Card (CSC) with the assistance of civil society partners;
- Conduct inspection/observation and
- Data processing/analysis.

AUDIT RESULTS

SUMMARY OF COMMUNITY SCORECARD (CSC RESULTS)

The Community Scorecard (CSC) is a participatory, community-based monitoring and evaluation tool that enables citizens to assess the quality of public services such as a health center, school, public transport, water, waste disposal systems, etc. The CSC invites community members about available services in the community and their satisfactions (dissatisfaction) to those services. The CSC asks for users' direct feedback about the quality, efficiency, and accessibility of those services.

INDICATORS	SCORES			
	BRGY. FORTUNE	BRGY. MALANDAY	BRGY. HANGKAS	BRGY. TUMANA
MEDICINES & SUPPLIES				
Beneficiaries	😊😊	😊😊	😊😊	😊😊😊😊
Service Provider	😊😊😊😊	😊😊	😊😊😊😊	😊😊
HEALTH SERVICES				
Beneficiaries	😊😊	😊😊	😊😊	😊😊
Service Provider	😊😊😊😊	😊😊	😊😊😊😊	😊😊😊😊
EQUIPMENT				
Beneficiaries	😊😊	😊😊	😊😊	😊😊
Service Provider	😊😊	😊😊😊😊	😊😊	😊😊

Kindly refer to the CPA report for the complete dissemination of results from the CSC (p.77-81) and the validation report (p.82-116)



Republic of the Philippines
COMMISSION ON AUDIT
Commonwealth Avenue, Quezon City

People's Citizen Participatory Audit Report



Ecological Solid Waste Management Program
of the LGUs in the National Capital Region
2014

A visual presentation of the Narrative CPA Report

What we found out

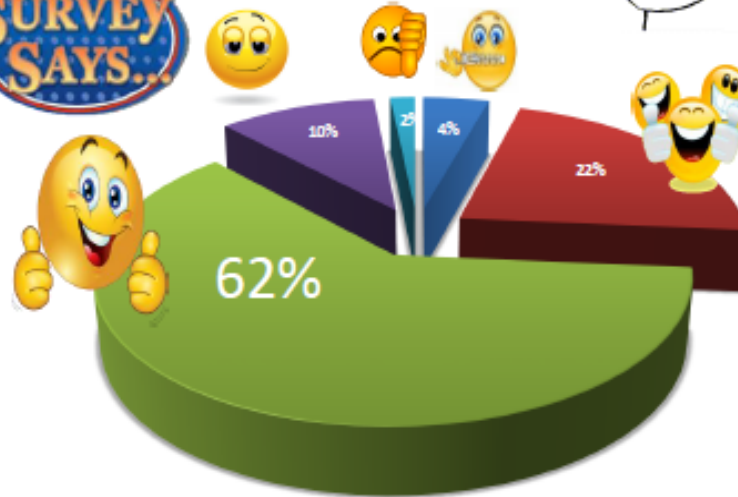


Survey was conducted by CSO-partners in 36 selected barangays resulting in the following information.....

Nasisiyahan ba ang mga mamamayan sa pangkalahatang kalinisan
Are the people satisfied with the overall cleanliness?



SURVEY SAYS...



■ No answer ■ Very satisfied ■ Satisfied ■ Somewhat satisfied ■ Not satisfied at all

Simplification
of audit
reports

q *The
People's
CPA Report*

What is Citizen Participatory Audit (CPA)?

A strategy for reform – to make civil society more involved in governance; founded on the premise that public accountability can prosper only with a vigilant and involved citizenry,



... a renewal of the spirit of volunteerism





... after all, democracy
is not just FOR the people;
it is also BY the people.

OUTCOMES: *Transparency*

- ✔ Citizens better understand & appreciate:
 - government operations, and
 - the public audit process
- ✔ Documents which are otherwise only for the eyes of the state auditors were made available to citizen-auditors

OUTCOMES:

Accountability & Anti-corruption

- ✔ Auditees implement audit recommendations faster when citizen-auditors are part of the audit team
- ✔ Citizens are empowered to articulate their sentiments on government services with citizen-auditors in the audit team

OUTCOME: *COA complies with ISSAI 12*

- ✓ COA, as a supreme audit institution, demonstrates its ongoing relevance to citizens per International Standards of Supreme Audit Institutions (ISSAI) 12
- ✓ Citizen-auditors and their civil society organizations strengthen COA's audit teams

An important gain of the CPA



Anthony Septimo

- Former critic of COA
- Became a Citizen-Auditor in the CPA of KAMANAVA Flood Control Project
- Now, a staunch champion of COA

“I truly believe that good governance is never the sole responsibility of the government.. The best approach to combating corruption and making good governance work is constructive engagement between government and its citizens. I am happy to be part of the CPA where I saw firsthand this dynamics at work. “

**Suggestions, comments,
questions will help
us grow.**

Thank you



By: Assistant Commissioner CARMELA S. PEREZ
Commission on Audit
(Supreme Audit Institution of the Philippines)
carmela.perez@coa.gov.ph
csperez0819@gmail.com